PTO/SB/21 (07-06) Approved for use through 09/30/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/742,699 **Application Number** RANSMITTAL Filing Date 12/19/2003 **FORM** First Named Inventor Shinya Taniguchi sed for all correspondence after initial filing) Art Unit 2114 **Examiner Name** Paul F. Contino Total Number of Pages in This Submission Attorney Docket Number 9319M-000615 ENCLOSURES (check all that apply) ☐ After Allowance Communication to Fee Transmittal Form Drawing(s) Technology Center (TC) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Petition Appeal Communication to TC Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): **Return Receipt Postcard** Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Remarks The Commissioner is hereby authorized to charge any additional Certified Copy of Priority fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Document(s) Account No. 50-3213. A duplicate copy of this sheet is enclosed. Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Reg. No. Attorney Name Firm G. Gregory Schivley 27,382 Harness, Dickey & Pierce, P.L.C. Bryant E. Wade 40,344 Individual name Signature January 11, 2007 Date CEATIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Express Mail EV 757 778 715 US (1/11/2007) G. Gregory Schivley Bryant E. Wade Typed or printed name Label No. Signature Date January 11, 2007

This collection of information is required by 3f CFR 1.5 The information is equired to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C./122.end/3f CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/742,699

Filing Date:

December 19, 2003

Applicant:

Shinya Taniguchi

Group Art Unit:

2133

Examiner:

Paul F. Contino

Title:

FAILURE PREDICTION SYSTEM, FAILURE

PREDICTION PROGRAM, FAILURE PREDICTION METHOD, DEVICE, PRINTER AND DEVICE

MANAGEMENT SERVER

Attorney Docket:

9319M-000615

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## **AMENDMENT**

Sir:

In response to the Office Action mailed October 16, 2006, please amend the application as follows and consider the remarks set forth below.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 9 of this paper.